



Position Title Vice President, Risk

Location Toronto

Reporting To Chief Risk Officer

Reporting to the Chief Risk Officer, the Vice-President Risk has the following responsibilities:

General

Position Overview

- The VP, Risk ensures that there is a robust risk management and governance framework to determine, measure, manage and report on enterprise risk
- The VP, Risk ensures that products, solutions and support areas are operating within risk objectives related to business strategy with effective risk and compliance. This will include the support in the development and management of these activities to support profitability within risk tolerance and risk appetite
- The VP, Risk will manage all regulatory audits and reviews and support the regulatory affairs of the corporation in the achievement and support of our strategic objectives including sustaining utmost investor confidence in the corporation and the industry, and robust market integrity

Specific Accountabilities

Duties and Responsibilities

- Risk Management
 - Establish and manage a risk management framework to:
 - Determine key risk to strategy
 - Map controls to key risks
 - Measure key risks against controls to determine residual risk as critical, severe or moderate
 - Report risk measurement and ensure risk and control owners have sufficient mitigation and path to green for all severe and critical residual risk
- Risk Governance
 - Manage risk governance ensuring a clear accountability from employees, securities registrants to line management to executive management to board of directors and shareholders
 - Ensure risk management framework and reporting is consistent with residual risk reporting against strategy to guide management in profitability within indicators of risk management based on determined risk appetite and risk tolerances
- Functional Audits and Reviews
 - Manage the audit function to measure the effectiveness of risk and controls in core product and support functions which support the investment management business
- Capital Markets, Managed Product and Corporate Finance Risk and Compliance

- Manage the risk management and compliance support for product functions including corporate finance, manage portfolios, capital markets including proactive due diligence, testing and surveillance, reporting and issue resolution
- Product and new business support includes due diligence to ensure all regulatory and other risk consideration are determined and resolved in launch and product sustainability decisioning
- Regulatory Affairs
 - Supports the CEO and CRO in designing and implementing a strategy to support functional and proactive relationships with regulators, advocacy groups and the investment community in the promotion of best in class citizenry in the promotion of investor protection and market integrity

Education and Qualifications

A degree in finance, accounting and law with business and risk management experience with 10 - 15 years of experience financial services at a broker dealer, investment manager or capital markets dealer.