



Position Title	Associate
Location	North York
Reporting To	Branch Management
Position Overview	<p>To provide support to a high net worth investment management team. The Associate will be responsible for reporting and analysis.</p>
Duties and Responsibilities	<ul style="list-style-type: none">• Support the Investment Advisor in general administrative duties in the management of client accounts• Support the Investment Advisor prepare client reviews and presentations• Request information and documentation from clients in order to open accounts and keep client files up to date• Maintain administrative liaison with the different operations departments.• Type memos, letters and documents. Prepare and manage periodic mass mailings to existing and potential clients• Act as contact person for clients concerning products, procedures and policies of the Firm• Notify Investment Advisor of tender offers, redemptions and reimbursements etc• Provide current price quotation to clients referring to quote services and trading desk personnel• Follow up on client trades to ensure proper settlement and delivery by informing the appropriate departments to take the necessary measures• Relay information to Investment Advisor on new shares and bonds issues• Monitor all pending transfers to ensure completion on a timely basis• Maintain appointment agenda for Investment Advisor• Have cheques issued for client accounts• Execute buy/sell transactions of shares, bonds and other investment products for client accounts
Qualifications	<ul style="list-style-type: none">• Proficient in English, oral and written• Proficient with Microsoft Office Suite• Organizational skills and ability to prioritize• Ability to work under pressure• Autonomy, initiative and attention to detail• Good knowledge of daily market activity to provide information to clients• Current knowledge of compliance issues published in internal bulletins• Excellent phone and e-mail etiquette, delivering high standards of customer service• Ability to balance time pressures and deadlines with conflicting demands• 3 to 5 years experience in the financial sector
Education	<ul style="list-style-type: none">• High School Diploma• The completion of the Canadian Securities Course and Conduct and Practice Handbook• Registered as Investment Representative (IR) with IIROC